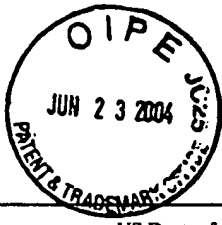


Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 116946		APPLICATION NO. New US Application	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANTS Hisae YOSHIZAWA et al.			
				FILING DATE August 28, 2003			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
UK	1	JP A 11-263916 (with abstract and translation)	09/28/1999	Japan			
	2	JP A 2002-97375 (with abstract and translation)	04/02/2002	Japan			
	3	JP A 2002-105329 (with abstract and translation)	04/10/2002	Japan			
	4	JP A 11-34336 (with abstract and translation)	02/09/1999	Japan			
	5	JP A 2000-63726 (with abstract and translation)	02/29/2000	Japan			
UK	6	WO 97/32571	09/12/1997	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
UK	7	Shaffer et al., "Fabrication and Characterization of Carbon Nanotube/Poly(vinyl alcohol) Composites", Advanced Materials, 1999, Vol 11, pp 937-941, Germany					
UK	8	Gong et al., "Surfactant-Assisted Processing of Carbon Nanotube/Polymer Composites", American Chemical Society, 2000, Vol 12, pp. 1049-1052					
EXAMINER				DATE CONSIDERED			
Jockey R...				9-28-06			
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: August 28, 2003

Sheet 1 of 1Form PTO-1449  
(REV. 8-83)US Dept. of Commerce  
PATENT & TRADEMARK OFFICEATTY DOCKET NO.  
116946APPLICATION NO.  
10/649,877

## INFORMATION DISCLOSURE STATEMENT

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APPLICANTS  
Hisae YOSHIZAWA et al.FILING DATE  
August 28, 2003GROUP  
2855

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
W	1	US 2003/077515 A1	4/24/03	Chen et al.		

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
W	2	WO 03/038837 A1	5/8/03	PCT		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

W	3	K. ESUMI et al., "Chemical Treatment of Carbon Nanotubes", <u>Carbon</u> , Elsevier Science Publishing, New York, NY, vol. 34, no. 2, pp. 279-281, 1996.				
W	4	C. VELASCO-SANTOS et al., "Improvement of Thermal and Mechanical Properties of Carbon Nanotube Composites through Chemical Functionalization", <u>CHEM. MATER.</u> , vol. 15, pp. 4470-4475, July 2003.				

EXAMINER

*Deborah R. Rhee*

DATE CONSIDERED

9-28-06

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Date: June 23, 2004